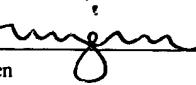


PATENT  
Docket No. 359872000821

#  
15 1/2

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on December 6, 2000.

  
Jinny Nguyen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
DEC 15 2000

TECH CENTER 1600/2900

In the application of:

Gilles H. TAPOLSKY and David W.  
OSBORNE

Serial No.: 09/069,703

Filing Date: April 29, 1998

For: PHARMACEUTICAL CARRIER  
DEVICE SUITABLE FOR DELIVERY  
OF PHARMACEUTICAL  
COMPOUNDS TO MUCOSAL  
SURFACES

Examiner: E. Webman

Group Art Unit: 1617

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

12/13/2000 CNGUYEN 00000028 09069703

01 FC:126

180.00 OP

RECEIVED  
DEC 15 2007  
TECH CENTER 1600 (2380)

This Information Disclosure Statement is submitted:

- Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - A fee is required. A check in the amount of \$180.00 is enclosed.
  - A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. An authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the Patent Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing

359872000821. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: December 6, 2000

Respectfully submitted,

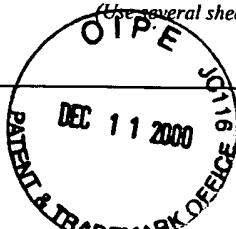
By: Catherine J. Kara  
Catherine J. Kara  
Registration No. 41,106

Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, California 94304-1018  
Telephone: (650) 813-5674  
Facsimile: (650) 494-0792

RECEIVED  
DEC 15 2000

TECH CENTER 1600/2900

Form PTO-1449 <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> <small>(Use several sheets if necessary)</small>			Docket Number 359872000821		Application Number 09/069,703	
			Applicant		Gilles H. TAPOLSKY and David W. OSBORNE	
			Filing Date April 29, 1998		Group Art Unit 1617	
			Mailing Date: December 6, 2000			



RECEIVED  
DEC 15 2000  
TECH CENTER 1600/2900

COPY

### U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
1.	07/05/1988	4,755,386		Hsiao et al.			
2.	08/16/1988	4,764,378		Keith et al.			
3.	10/19/1993	5,254,346		Tucker et al.			
4.	09/13/1994	5,346,701		Heiber et al.			
5.	05/14/1996	5,516,523		Heiber et al.			
6.	03/03/1998	5,723,143		Jacques et al.			
7.	05/12/1998	5,750,136		Scholz et al.			
8.	05/04/1999	5,900,247		Rault et al.			
9.	11/16/1999	5,985,317		Venkateshwaran et al.			

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
10.	01/27/1965	GB 981,372		Great Britain			
11.	09/01/1994	WO 94/18925, A1		WIPO			
12.	11/23/1994	EP 0 654 261, A1		Europe		Abstract	

### OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.